Current Understanding of Proton Conduction in Confined Ionomeric Systems
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Abstract
Ion containing polymers (or ionomers), when confined into several nm to several hundreds of nm thick films, behave very differently from bulk membranes. Understanding the proton transport mechanism in thin ionomer layers coated over catalysts is crucial for further improvement of proton exchange membrane fuel cell (PEMFC) performance. This review paper summarizes the current understanding of proton conduction properties of supported thin ionomer (mainly Nafion) films. In thin films, the proton conduction properties may not be governed by the amount of water sorbed. Water molecules in such systems experience strong confinement, behave like bound immobilized water and fail to create percolated active proton conduction pathways. Therefore, in confined ionomic systems, some factors other than water uptake need consideration to clearly understand the observed proton transport. These factors include solvation of ionic groups of polymer, local concentration of proton (H\(^+\)), connectivity of hydrophilic domains, and, orientational relaxation dynamics of water.

Keywords: excited state proton transfer (ESPT) probes, fluorescence, HPTS, ionomer, nafion, proton exchange membrane fuel cells (PEMFCs), proton transport, thin films

Introduction
Understanding of nanoscopic water is of fundamental importance in hydrated polymeric systems. Ionomers have potential applications in energy conversion applications,\(^1\,^2\) optoelectronic devices,\(^3\) bioassays\(^4\) and biomedical applications,\(^5\) food industries\(^6\) and so on. In proton exchange membrane fuel cells (PEMFCs) (Figure 1a), a thin layer (~2-100 nm)\(^7\,^8\) of ionomer is coated over the carbon supported Pt catalyst layer at cathode (Figure 1b). In addition to placing a membrane of proton conducting ionomer (such as Nafion, Figure 1c) in between two electrodes, the

![Figure 1. Schematic of (a) proton exchange membrane fuel cell (PEMFC) and (b) a cathode catalyst site; (c) structure of perfluorosulfonic acid based ionomer, Nafion.](Image)
The purpose of depositing a thin ionomer layer over catalyst is to improve catalyst binding, promote proton conduction at catalyst active sites and enhance the oxygen reduction reactions. However, very little is known about transport properties of thin ionomer films. With a cost target of $30/KW for fuel cell based automobiles, it is crucial to develop the basic understanding of thin supported ionomer films at hydrated state. The insights gained through these studies can be very helpful in optimizing the design of ionomer-catalyst interface for improved proton conductivity.

In many ways, bulk films and membranes are different from thinner films. While water molecules in bulk membranes rotate freely, confinement of polymer chains, water molecules and protons (H\(^+\)) in thin films is predicted and proved using a number of complementary surface characterization techniques. Confinement restricts the rotational motion of water molecules, a crucial step for forming H-bonded water network and conducting protons. As a result, thin films encounter higher ionic resistance and suppressed proton conductivity over corresponding membrane counter parts. This review covers the current understanding and perspective of thin ionomer films for PEMFCs in terms of proton transport. The factors affecting the proton transport in confined thin films are discussed with indications of potential future scopes of work in this area.

**Techniques to measure proton transport**

Most of the research works report proton conductivity measured by impedance spectroscopy technique. In this technique, microelectrodes (Pt, Au etc) are strategically deposited as interdigitated array (IDA). Impedance is measured under an applied potential over range of frequencies. The impedance response is then fitted to obtain resistance \((R_\text{i})\). Using this resistance value along with the known distance between electrodes \((d)\), length of teeth \((l)\), number of electrodes \((N)\), and, film thickness \((t)\), proton conductivity \((\kappa_f)\) is calculated as follows:

\[
\kappa_f = \frac{1}{R_f} \frac{d}{l(N-1)t}
\]

Apart from direct measurements of proton conductivity values, efforts have been given to gain basic understanding of proton transport using fluorescence based techniques. Fluorescence is a very sensitive technique and can thus offer important insights about the protonic microenvironment inside thin films. The existing understanding on connection between hydration and state of confined water, when considered to explain the fluorescence data, offers an array of information about the local proton concentration, water pool size and extent of proton transfer. These studies rely on photoacidic probes, also known as excited state proton transfer (ESPT) probes.

![Figure 2. Structure of photoacidic probe HPTS at protonated and deprotonated state.](image)

The uniqueness of these probes is their abrupt change in acid dissociation constant \((pK_a)\) upon photoexcitation. While an ESPT probe, like, 1-hydroxy-3,6,8-pyrenesulfonic acid (HPTS, Figure 2) possesses a \(pK_a\) value of 7.7 at ground state, its...
phenolic group gets deprotonated upon photoexcitation immediately as the pKₐ drops to 0.7. However, the dye can retain its deprotonated state only if it gets proton acceptors, like water molecules around it to take the proton away from the deprotonation site. Therefore, it behaves the same way as any sulfonic acid (–SO₃H) functionalized ionomer (e.g. Nafion) in an aqueous environment. By tracking the fluorescence of deprotonated state (I_d,  λ_em ~510 nm) and protonated state (I_p,  λ_exc ~430 nm), the extent of proton transport are estimated (Figure 3a). Deprotonation ratio (I_d/I_p) gives information similar to proton conductivity (σ) as can be seen in Figure 3b for bulk nafion membrane. Therefore, I_d/I_p can be an indirect measure of proton conductivity.

**Factors controlling proton conductivity**

*Film thickness, substrate and film processing condition effect*

Figure 4 shows proton transport trends of spin-coated Nafion films as a function of film thickness and RH. The extent of proton transport decreases as the films get thinner. Proton transport requires sufficient water molecules around proton donating groups (e.g. –SO₃H) of ionomers for effective solvation. The water molecules must also be mobile enough to carry the protons away from the site of proton generation. Water gets more and more confined with the decrease in film thickness.

**Figure 3.** (a) Fluorescence intensity of HPTS in a 590 nm thick Nafion film on native SiO₂ surface (λ_exc 370 nm, λ_em 400-590 nm) as a function of relative humidity (RH); (b) Ratio of maxima of fluorescence intensities of deprotonated to protonated state (I_d/I_p) as a function of proton conductivity (σ) in Nafion membrane (data taken from ref 10 (PL intensity and I_d/I_p) and ref 17 (σ)).

**Figure 4.** I_d/I_p of HPTS in spin-coated Nafion films (on native SiO₂) and free standing Nafion membrane as a function of relative humidity (RH) and thickness (data taken from ref 10).
Table 1: Proton conductivities of Nafion thin films deposited and processed under varied conditions.

<table>
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<tr>
<th>d (nm)</th>
<th>Substrate</th>
<th>Electrode</th>
<th>Film deposition strategy</th>
<th>Film annealing condition</th>
<th>% RH</th>
<th>λ</th>
<th>(T)_σ</th>
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* d= Nafion film thickness at dry state; λ= Hydration number; (T)_σ= Temperature (°C) at which proton conductivity was measured; σ= proton conductivity (mS/cm).
Inadequate solvation (hydration number, $\lambda \leq 4$ at RH< 50%)$^{10}$ of ionomer by highly confined water leads to poor proton conduction in 200-600 nm thick films as compared to bulk membranes. It is interesting to observe that a 70 nm thick Nafton film uptake more water ($\lambda$~11) as compared to films with thickness ranging from 150 nm ($\lambda$~6) to 600 nm ($\lambda$~5) at water activity of 1$^{10,12}$. A higher water content should lead to higher conduction of protons. The inverse relationship between proton conductivity and water uptake$^{10,11}$ invokes significant interest and is discussed in a later section in detail. Table 1 presents the literature values of proton conductivity reported for Nafton films with thickness ranging from 4-300 nm with film processing conditions. The table shows that the proton conductivity at similar RH can be distinctly different depending on how the films are prepared and what type of substrates are used. The substrate effect on proton conduction is not well-resolved till now. While proton conductivity of a 4 nm thick self-assembled Nafton film varies just by the variation of oxide layer thickness$^{11,14}$ on SiO$_2$ substrate, it does not show any clear trend with change in substrates in others’ work.$^{21}$ The authors have found quite similar deprotonation ratio ($I_d/I_p$) for spin coated Nafton thin films ($\geq$ 70 nm) at similar thickness on Au and native SiO$_2$ substrates.$^{10}$ Ohira et al.$^{21}$ have obtained a proton conduction trend: Pt> hydrophilic glassy carbon (GC) > unmodified GC for nafton film thickness lower than 10 nm. The substrate effect on surface current density disappears in films thicker than 10 nm.$^{21}$ Nafton films prepared by different techniques (self-assembly, drop casting, spin coating) have not been studied at similar thickness so far (Table 1).

While the effect of film preparation conditions on proton conductivity needs further investigation, film treatment conditions have been shown to distinctly affect morphology of the film as well as proton conductivity. Thermal annealing can affect swelling and proton conductivity upon formation of crystalline domains.$^{22}$ Proshad et al.$^{19}$ have shown that annealing causes a transition of the surface of a film from hydrophilic to hydrophobic. The change in both surface wettability$^{19}$ and bulk crystallinity$^{22}$ restricts the water uptake and swelling and leads to lower proton conductivity of annealed films as compared to unannealed ones (Table 1).

**Local proton concentration effect**

Abundance of protons, but inadequate proton transporting pathways can be detrimental to proton transport. It has been observed that the deprotonation ratio ($I_d/I_p$) does not change significantly up to 75% RH in Nafton thin films (Figure 4). Beyond 75% RH, a large increase in deprotonation is witnessed. By comparing $I_d/I_p$ of Nafton thin films/membranes$^{10}$ to that of HCl solutions$^{23}$ with known concentration, one can predict the local proton concentration. Figure 5 shows that proton transport is inversely correlated to proton concentration. A low value of $I_d/I_p$ and a very high value of proton concentration are observed at low hydration numbers ($\lambda \leq 4$).$^{10}$ The probability of having water molecules for donation/acceptation of protons is less likely at such a low hydration.

![Figure 5](image_url)  
**Figure 5.** $I_d/I_p$ of HPTS in Nafton membrane as a function of proton concentration ([H$^+$]). Proton concentrations in Nafton membrane are predicted by comparing the $I_d/I_p$ values of HPTS in Nafton membrane to those in HCl solutions with varying acid concentrations (data taken from ref$^{10,23}$ (for $I_d/I_p$) and ref$^{23}$ (for [H$^+$])).
Moreover, the water molecules experience much higher degree of confinement in thin films as compared to bulk membranes. As a result, this huge amount of protons does not get enough mobile water to diffuse. Thus the deprotonation reaction equilibrium moves towards back-protonation and proton transport becomes very slow at low RH. While at higher RH, the films swell, water mobility increases to a point where they start to percolate to form proton conduction channels. As a result, the local proton concentration drops down and proton conduction is promoted (increase in $I_d/I_p$).

**Effect of chemical structure of ionomers**

![Figure 6](image-url)

*Figure 6.* Fluorescence spectra of HPTS in Nafion (■) and S-Radel (○) films with thickness ~ 200 nm at 100% RH (Nafion data taken from ref 10).

Alteration of chemical structure of ionomers can influence the film microstructure, state of water and proton conduction properties. While deprotonation of HPTS (rise of fluorescence emission peak at 515 nm) is prominent in 200 nm thick film of perfluorosulfonic based ionomer (Nafion), no proton transport is observed in a film of sulfonated aromatic ionomer (sulfonated poly (arylethersulfone), S-Radel here) with similar thickness at 100% RH (Figure 6). The distinct differences in proton conduction properties are mainly due to the difference in their acid dissociative nature and phase separation characteristics. Aromatic hydrocarbon based ionomers possess rigid rod like polymer backbone. These ionomers ($pK_a \sim -2$) are less acidic as compared to perfluorosulfonic acid based Nafion ($pK_a \sim -6$). The weak proton donating nature is associated with the direct attachment of the sulfonic acid groups to rigid aromatic backbone of S-Radel which also makes the phase separation difficult. Due to poor phase separation, water molecules in such systems dwell in disconnected water domains trapped within hydrophobic matrix. Based on small angle X-ray scattering (SAXS) results, Kreuer has indicated that solid samples of perfluorosulfonic acid based Nafion possess high hydrophilic-hydrophobic phase separation and wide water channels with good connectivity. On the other hand, solid samples of sulfonated aromatic poly (etheretherketone) (SPEEK) have narrow water channels with higher tortuosity and dead ends, indicating stronger water confinement. The minimum water volume fraction required for percolation of scattered, ill-connected water channels and facilitation of proton conduction (percolation threshold) is 0.3 for SPEEK, while it is only 0.1 for a Nafion membrane sample. Moreover, the excess volume of mixing of water with ionomers at corresponding percolation threshold points are -2 cm$^3$ and 1 cm$^3$ for SPEEK and Nafion, respectively. The higher percolation threshold and negative volume of mixing again prove the more confined state of water in bulk SPEEK samples as compared to Nafion. Confinement of water, polymer and proton becomes far more severe in the presence of confining interfaces since the behavior of water near an interface is more ice-like. Therefore, in a supported thin film made of aromatic S-Radel, proton conduction is suppressed due to stronger confinement and stronger interfacial interaction (among water-polar groups of polymer-substrate).
**Water uptake and water domain connectivity: which one is important?**

The typical ion conduction (σ) is function of both the number of charge carrier (n_i) (water is the proton carrier here) and ionic mobility (μ_i):

\[ \sigma = \sum n_i \times z_i \times e \times \mu_i \]

where \( z_i \) is valency of ion carrier, \( e \) is the elementary electric charge. Proton (H⁺) mobility is dependent on water rotational mobility. In a bulk hydrated polymer system, water molecules undergo spontaneous orientational relaxation process. During this relaxation process, water molecules rotate freely and continuously and break H-bonds with neighboring water molecules. Protons generated at ionic sites of polymers are transported away by these H-bonded water networks. Therefore, proton conduction is considered to be controlled by both water uptake and water mobility, in general. It is interesting to observe that the hydration number at 100% RH is similar for both 70 nm thick film and 50 µm thick bulk Nafion membrane (Figure 7). However, the deprotonation ratio in the film is 10 times smaller as compared to the membrane (Figure 7).

![Figure 7](image)

**Figure 7.** \( I_d/I_p \) as a function of hydration number in a 70 nm thick Nafion film (▲) and 50 µm thick Nafion membrane (●) (data taken from ref 10).

Surface hydrophilicity increases as the film gets thinner which supports the higher level of water uptake of thin Nafion films. However, the water molecules in thin films are not promoting the proton transport. This supports the fact that proton conduction is not governed by water uptake. The reason behind this decreased proton conductivity from bulk to confined system at similar hydration can be well-understood by close observation of reverse micelle systems with known water domain size (Figure 8).

![Figure 8](image)

**Figure 8.** \( I_d/I_p \) as a function of size of water pools in AOT reverse micelle systems of varied size. The number to the right of AOT represents the size ([H2O]/[AOT]) of reverse micelle systems in solutions (data taken from ref 30 for size of water pools and ref 32 for \( I_d/I_p \)). Inset shows a schematic of AOT reverse micelle system.

AOT (sodium bis(2-ethylhexyl)sulfosuccinate) reverse micelles self-assemble to form core containing hydrophilic sulfonated groups and shell containing hydrophobic tail groups in water (Figure 8, inset). The hydrophilic core contains bulk water. There is also a thin water layer at the interface of bulk water core and polar head groups of micelles, named as interfacial water layer. Figure 8 shows that the
Table 2. Change in properties of AOT reverse micelle systems with size.

<table>
<thead>
<tr>
<th>System</th>
<th>Size of water domains by dynamic light scattering (nm)</th>
<th>Orientational relaxation time of water by time resolved IR (ps)</th>
<th>Vibrational relaxation time constant (ps) by mid IR-ultrafast pump-probe spectroscopy</th>
<th>Deprotonation ratio (I₀/Iₚ) of HPTS by steady state fluorescence</th>
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<td></td>
<td>30,32</td>
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<td></td>
<td>30,33</td>
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<td>32</td>
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The number to the right of AOT represents the ratio [H₂O] / [AOT] in solution.

Deprotonation ratio (I₀/Iₚ) of HPTS and size of nanoscopic water pools both decreases with decreasing size of AOT reverse micelles. (Figure 8). The orientation relaxation is also seen to slow down as the size of the water pools and deprotonation ratio decreases (Table 2). With the decrease in size of reverse micelles (size of water pools <3.5 nm), the water lacks bulk-like characteristics and behaves like interfacial confined water. That is why the orientational relaxation of water becomes slow and drops drastically when size of water pools are ≤ 1.7 nm. Same trend has been seen for vibrational energy relaxation of water in these micelles indicating gradual increase in water confinement in smaller micelle systems (Table 2). These highlight the importance of size of water domains for rotational reorganization of water molecules and proton transport in confined system. In fact, the slower water rotational dynamics in hydrated thin ionomer films, induced by water-polymer-substrate interfacial interaction, highly impedes the proton conduction. By comparing the I₀/Iₚ values of AOT (Figure 8) to those of nafion thin films (Figure 7), it can be predicted that the size of a water pool in 70 nm thick nafion film at 100% RH is <2 nm. The size of the hydrophilic domain in a 100 nm thick Nafion film has been visualized around ~1 nm with poor phase separation by bright field TEM. This proves that not the water uptake, but the confinement induced disruption of water mobility and domain connectivity govern the proton conduction in confined geometry. This also shows that HPTS can predict the size of water domains, local pH and extent of proton transport accurately.

Concluding Remarks

This review paper summarizes the confinement induced changes in proton transport properties of supported thin ionomer films based on papers published until January 2014. The paper highlights a very important point that proton conduction in a confined system is highly affected by proton carrier (water) mobility rather than the number of proton carriers (or water uptake). In ionomer thin films, the depression of proton conductivity is a result of poor ion solvation dynamics, extremely high local proton concentration and scattered disconnected small water domains with high water confinement. Instead of having a significant number of active, connected proton conducting pathways, thin films possess tiny, confined aqueous domains which are very ill-connected. High value of hydration number (λ) which is the average number of water molecules around a sulfonic acid group thus does not necessarily symbolize a highly hydrated and highly proton conducting system. Achieving connected hydrophilic channels at lower hydrophilic volume fraction can be the key to improve proton conductivity. There is ample scope of work to improve the understanding of proton transport in confined
ionomer systems. How the fabrication and surface modification of electrode change the wettability of the film and substrate generates a lot of interest in the fuel cell community. Also the optimum thickness of ionomer layer required to coat catalyst for highest proton conduction can be decided based on structure-property relationships. Therefore, investigation of proton transport by systematic variation in film preparation and processing conditions and substrate for a range of film thickness is required. The studies will help develop a comparative database of thin film properties. Also conductivity should be investigated both in-plane and out-of-plane direction. Conductivity values are not expected to be the same in both directions and so far mostly in-plane conductivities are measured for Nafion thin films.\textsuperscript{11,14,15,19} The understanding of thin films can reach to a new level if proton conductivities at different interfaces can be derived since water volume fractions vary widely along the thickness of supported films.\textsuperscript{35} These understandings are crucial for future designing of ionomer-catalyst layers.

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References


